

Optometry Board Summary of Recommendations - House

Page VIII-42

Chris Kloeris, Executive Director

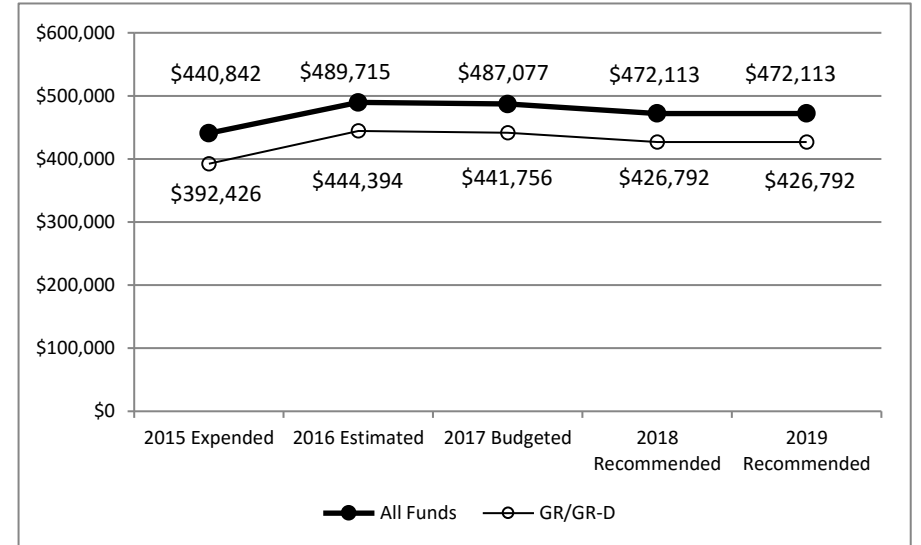
Andrew Overmyer, LBB Analyst

Method of Financing	2016-17 Base	2018-19 Recommended	Biennial Change (\$)	Biennial Change (%)
General Revenue Funds	\$886,150	\$853,584	(\$32,566)	(3.7%)
GR Dedicated Funds	\$0	\$0	\$0	0.0%
<i>Total GR-Related Funds</i>	<i>\$886,150</i>	<i>\$853,584</i>	<i>(\$32,566)</i>	<i>(3.7%)</i>
Federal Funds	\$0	\$0	\$0	0.0%
Other	\$90,642	\$90,642	\$0	0.0%
All Funds	\$976,792	\$944,226	(\$32,566)	(3.3%)

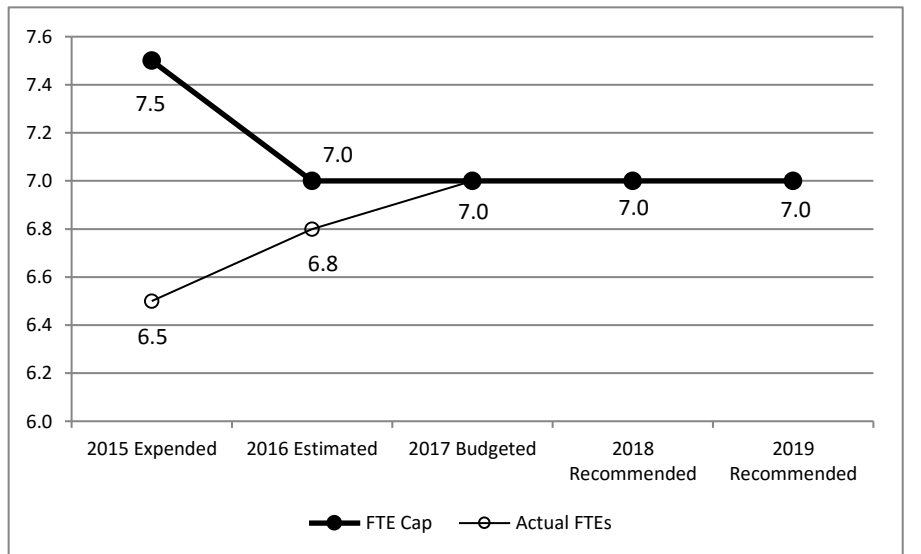
	FY 2017 Budgeted	FY 2019 Recommended	Biennial Change	Percent Change
FTEs	7.0	7.0	0.0	0.0%

The bill pattern for this agency (2018-19 Recommended) represents an estimated 100% of the agency's estimated total available funds for the 2018-19 biennium.

Historical Funding Levels



Historical Full-Time-Equivalent Employees (FTEs)



Optometry Board
Summary of Funding Changes and Recommendations - House

Section 2

Funding Changes and Recommendations for the 2018-19 Biennium compared to the 2016-17 Base Spending Level		General Revenue	GR-Dedicated	Federal Funds	Other Funds	All Funds	Strategy in Appendix A
Funding Changes and Recommendations							
A)	Decrease of 1) \$9,599 for the elimination of one board meeting; 2) \$6,500 for travel; 3) \$2,000 for a reduction in funds for anticipated witness and travel fees for hearings with the State Office of Administrative Hearings; and 4) \$14,467 from other operating expenses, pursuant to the four percent reduction	(\$32,566)	\$0	\$0	\$0	(\$32,566)	A.1.1, A.1.3
TOTAL Funding Changes and Recommendations		(\$32,566)	\$0	\$0	\$0	(\$32,566)	As Listed
Funding Increases		\$0	\$0	\$0	\$0	\$0	
Funding Decreases		(\$32,566)	\$0	\$0	\$0	(\$32,566)	As Listed

Optometry Board
Selected Fiscal and Policy Issues - House

1. **Sunset Review.** The agency is currently undergoing Sunset review. The Sunset Commission recommends the continuation of the agency for twelve years (see Rider Highlights #3).
2. **Peer Assistance Funding.** The agency's Peer Assistance Program was identified as behavioral health funding and included in the 2016-17 Statewide Behavioral Health Coordinated Expenditure Proposal and Plan. The Peer Assistance Program provides referrals to treatment providers, tracks compliance agreements, and provides education and support to impaired licensees. The agency's program, totaling \$72,000 for the 2016-17 biennium, was exempt from the four percent General Revenue reduction. Recommendations continue \$72,000 in funding for this program in the 2018-19 biennium (see Rider Highlights #2).

**Optometry Board
Rider Highlights - House**

Modification of Existing Riders

2. **Contingency for Behavioral Health Funds.** Recommendations modify rider to extend the requirements to both years of the biennium and makes the funding of the agency's Peer Assistance Program contingent upon approval of a coordinated expenditure proposal in each year of the biennium in accordance with requirements of Art. IX, Sec. 10.04, Statewide Behavioral Health Strategic Plan and Coordinated Expenditures (see Selected Fiscal and Policy Issues #2).

New Riders

3. **Sunset Contingency.** Recommendations add a contingency provision for the agency's upcoming Sunset review (see Selected Fiscal and Policy Issues #1)

Optometry Board
Items Not Included in Recommendations - House

Section 5

	2018-19 Biennial Total			Information Technology Involved?	Contracting Involved?	Estimated Continued Cost 2020-21
	GR & GR-D	All Funds	FTEs			

Agency Exceptional Items - In Agency Priority Order

1)	One board meeting (\$9,599), travel (\$6,500), anticipated witness and travel fees for hearings with the State Office of Administrative Hearings (\$2,000), and other operating expenses (\$14,467), pursuant to the four percent reduction.	\$32,566	\$32,566	0.0	Yes	No	\$32,566
2)	Installation of Voice Over IP (VoIP) phone system, as required by the Department of Information Resources.	\$1,000	\$1,000	0.0	Yes	No	\$0
3)	Targeted staff salary merit increases for four employees.	\$12,000	\$12,000	0.0	No	No	\$12,000
4)	Executive Director salary merit increase. This request is for funding only; it is not for an increase in authority for Executive Director salary. The request is to fund a salary of \$84,704. The authorized salary is \$89,229.	\$10,000	\$10,000	0.0	No	No	\$10,000
5)	Travel expenses for board meetings and licensee inspections conducted by the agency.	\$3,080	\$3,080	0.0	No	No	\$3,080
6)	Directors and Officers Insurance for board members pursuant to a State Office of Risk Management recommendation.	\$6,752	\$6,752	0.0	No	No	\$6,752

TOTAL Items Not Included in Recommendations	\$65,398	\$65,398	0.0			\$64,398
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**Optometry Board
Appendices - House**

Table of Contents		
Appendix	Appendix Title	Page
A	Funding Changes and Recommendations by Strategy	7
B	Summary of Federal Funds	*
C	FTE Highlights	8
D	Performance Measure Highlights	*
E	Summary of Ten Percent Biennial Base Reduction Options	9

* Appendix is not included - no significant information to report

Optometry Board
Funding Changes and Recommendations - House, by Strategy -- ALL FUNDS

Strategy/Goal	2016-17 Base	2018-19 Recommended	Biennial Change	% Change	Comments
LICENSURE AND ENFORCEMENT A.1.1	\$653,914	\$630,031	(\$23,883)	(3.7%)	Decrease of \$23,883, including 1) \$7,680 for one board meeting; 2) \$4,950 for travel; 3) \$2,000 for hearings with the State Office of Administrative Hearings; and 4) \$9,253 from other operating expenses
TEXAS.GOV A.1.2	\$39,855	\$39,855	\$0	0.0%	
INDIRECT ADMINISTRATION A.1.3	\$211,023	\$202,340	(\$8,683)	(4.1%)	Decrease of \$8,683, including 1) \$1,919 for one board meeting; 2) \$1,550 for travel; and 3) \$5,214 from other operating expenses
PEER ASSISTANCE A.1.4	\$72,000	\$72,000	\$0	0.0%	
Total, Goal A, LICENSURE AND ENFORCEMENT	\$976,792	\$944,226	(\$32,566)	(3.3%)	
Grand Total, All Strategies	\$976,792	\$944,226	(\$32,566)	(3.3%)	

Optometry Board
FTE Highlights - House

Full-Time-Equivalent Positions	Expended 2015	Estimated 2016	Budgeted 2017	Recommended 2018	Recommended 2019
Cap	7.5	7.0	7.0	7.0	7.0
Actual/Budgeted	6.5	6.8	7.0	NA	NA

Schedule of Exempt Positions (Cap)					
Executive Director	\$71,906	\$89,229	\$89,229	\$89,229	\$89,229

Notes:

a) The agency requests funding to increase the Executive Director's annual salary from \$79,704 to \$84,704 per fiscal year (see Items Not Included in Recommendations #4). The position is currently a Group 1 position with an amount not to exceed \$89,229. A Report on Executive Compensation at State Agencies, published by the State Auditor (Report No.16-706), indicates a market average salary of \$104,180 for the Executive Director position.

Optometry Board
Summary of Ten Percent Biennial Base Reduction Options - House

Priority	Item	Description/Impact	Biennial Reduction Amounts			Potential Revenue Loss	Reduction as % of Program GR/GR-D Total	Included in Introduced Bill?
			GR & GR-D	All Funds	FTEs			
1)	Reduce purchases of office supplies	Office supply purchases would be reduced. This would reduce the agency's ability to engage in correspondence with external entities and file reports.	\$6,400	\$6,400	0.0	\$0	1%	No
2)	Eliminate organization membership	Eliminate membership in two national optometry organizations. This would reduce the agency's ability to receive training in addition to evaluation of the agency's continuing education program.	\$2,300	\$2,300	0.0	\$0	0.3%	No
3)	Eliminate all computer updates	Foregoing of updates to computer hardware and software. This could potentially impact the security of the agency's computers and networks, and would place the agency outside of the guidelines set by the Department of Information Resources for computer replacement.	\$7,400	\$7,400	0.0	\$0	1%	No
4)	Eliminate database programming	Foregoing of updates to the agency's database. This will reduce the efficiency of the agency's computer operations.	\$2,000	\$2,000	0.0	\$0	0.3%	No
5)	Eliminate a SOAH Hearing	Eliminate the hearing at the State Office of Administrative Hearings (SOAH) that remained after the four percent reduction. This could potentially affect the agency's disciplinary process.	\$8,000	\$8,000	0.0	\$0	1%	No
6)	Eliminate a Board Meeting	Eliminate one board meeting. This could potentially increase times for complaint resolution and disciplinary action, and delay rulemaking procedure and approval of continuing education.	\$9,600	\$9,600	0.0	\$0	1%	No
7)	Eliminate inspections	Eliminate inspections of licensee offices conducted by the agency. This would reduce the agency's enforcement capacity.	\$4,000	\$4,000	0.0	\$0	1%	No
8)	Eliminate administrative assistant position	Eliminate 0.5 FTE administrative assistant position. This would reduce efficiency and effectiveness of agency operations.	\$24,000	\$24,000	0.5	\$0	3%	No

Optometry Board
Summary of Ten Percent Biennial Base Reduction Options - House

Priority	Item	Description/Impact	Biennial Reduction Amounts			Potential Revenue Loss	Reduction as % of Program GR/GR-D Total	Included in Introduced Bill?
			GR & GR-D	All Funds	FTEs			
9)	Reduce administrative assistant position	Reduce one full-time administrative assistant position responsible for preparing continuing education submissions for board approval to 0.75 FTE. This would reduce the effectiveness and efficiency of the agency's continuing education approval process.	\$14,458	\$14,458	0.25	\$0	2%	No
TOTAL, 10% Reduction Options			\$78,158	\$78,158	0.75	\$0		