



LEGISLATIVE BUDGET BOARD

# **Statewide Behavioral Health Strategic Plan and Coordinated Expenditures**

## **Overview and Funding**

**PRESENTED TO the House Select Committee on Mental Health**

**LEGISLATIVE BUDGET BOARD STAFF**

**February 2016**

# Overview of Presentation

- Slide 3 - Article IX, Sec. 10.04, Statewide Behavioral Health Strategic Plan and Coordinated Expenditures
- Slide 10 - Behavioral health service delivery system overview
- Slide 13 - Department of State Health Services
  - Slide 15 - DSHS Outpatient Services
  - Slide 22 - DSHS Inpatient Services
- Slide 25 - Department of Criminal Justice
- Slide 39 - Juvenile Justice Department
- Slide 45 – Other Agencies
- Slide 52 - Medicaid Behavioral Health

---

**Article IX, Sec. 10.04,  
Statewide Behavioral Health Strategic Plan and  
Coordinated Expenditures**

# Behavioral Health

- The 2016-17 General Appropriations Act, Eighty-fourth Legislature, 2015, appropriates \$2.7 billion in General Revenue-Related Funds (\$3.6 billion in All Funds) for behavioral health and substance abuse services to eighteen agencies across several articles identified in Article IX, Sec. 10.04.
- Article IX Sec. 10.04, Statewide Behavioral Health Strategic Plan and Coordinated Expenditures:
  - Defines behavioral health services as programs or services concerned with research, prevention, detection, and treatment of mental disorders and disabilities, including persons whose mental disorders or disabilities result from alcoholism or drug addiction;
  - Identifies agencies appropriated funds for behavioral health programs/ services;
  - Establishes Statewide Behavioral Health Coordinating Council;
  - Requires Statewide Behavioral Health Strategic Plan; and
  - Requires coordination of fiscal year 2017 behavioral health expenditures.

# Behavioral Health Appropriations

## Article IX, Sec. 10.04, Statewide Behavioral Health Strategic Plan and Coordinated Expenditures

### Article IX, Sec. 10.04 (a): Informational Listing – Behavioral Health and Substance Abuse Services Appropriations

Agency	Fiscal Year 2016-17	
	GR-Related	All Funds
Trusteed Programs, Office of the Governor	\$1.5	\$10.6
Veterans Commission	\$0.0	\$4.0
<b>Article I Total</b>	<b>\$1.5</b>	<b>\$14.6</b>
Department of Aging and Disability Services	\$18.3	\$18.6
Department of Family and Protective Services	\$26.7	\$52.5
Department of State Health Services <sup>1</sup>	\$1,983.4	\$2,738.1
Health and Human Services Commission <sup>2</sup>	\$28.4	\$78.4
Texas Civil Commitment Office	\$0.3	\$0.3
<b>Article II Total</b>	<b>\$2,057.3</b>	<b>\$2,887.9</b>
University of Texas- Health Science Center Tyler	\$8.0	\$8.0
University of Texas - Health Science Center Houston	\$12.0	\$12.0
<b>Article III Total</b>	<b>\$20.0</b>	<b>\$20.0</b>
Department of Criminal Justice	\$490.7	\$495.8
Juvenile Justice Department	\$155.8	\$169.0
Military Department	\$1.3	\$1.3
<b>Article V Total</b>	<b>\$647.8</b>	<b>\$666.0</b>
Board of Dental Examiners	\$0.2	\$0.2
Board of Pharmacy	\$0.5	\$0.5
Board of Veterinary Medical Examiners	\$0.1	\$0.1
Optometry Board	\$0.1	\$0.1
Texas Board of Nursing	\$1.7	\$1.7
Texas Medical Board	\$1.1	\$1.1
<b>Article VIII Total</b>	<b>\$3.7</b>	<b>\$3.7</b>
<b>Cross Article Total</b>	<b>\$2,730.2</b>	<b>\$3,592.2</b>

Source: 2016-17 General Appropriations Act. Governor's Veto Proclamation.

Notes: 1) These amounts have been updated for the final version of the bill, including the Governor's vetoes. 2) These amounts do not include Medicaid expenditures within the Health and Human Services Commission.

# Behavioral Health Coordinating Council

Article IX Sec. 10.04(b) establishes a 13 member statewide behavioral health coordinating council.

- Art VIII agencies will be represented by one individual from the Health Professions Council.
- Other agencies will each have one representative.
- The Health and Human Services Commission (HHSC) Mental Health Statewide Coordinator is named as chair.

The council is required to:

- Develop a five-year strategic plan and submit it to the Executive Commissioner of HHSC;
- Develop a coordinated statewide expenditure proposal for each agency for fiscal year 2017.

Appropriations may be used to support the Council.

# Behavioral Health Coordinating Council

---

Agencies not named in the provision may participate in the Council.

- The Texas Education Agency (TEA) has a voluntary representative on the council
  - TEA does not receive specific funding for mental health services
  - Some school districts may provide educational services to students who receive behavioral health-related counseling/psychological services

# Five-year Strategic Plan

Article IX, Sec. 10.04 (b) requires the statewide coordinating council to develop a five-year strategic plan that includes:

- An inventory of current behavioral health programs and services;
- The number of persons with mental illness or substance abuse issues receiving services by each agency; and
- A detailed plan to coordinate programs and services to eliminate redundancy, ensure optimal service delivery, and identify and collect data on effectiveness.

The coordinating council shall submit the plan to the Executive Commissioner of HHSC. HHSC shall approve the plan and notify the Legislative Budget Board (LBB) of approval by May 1, 2016.



# Coordinated Expenditure Proposal

Article IX, Sec. 10.04 (c) requires the statewide coordinating council to develop a coordinated expenditure proposal for fiscal year 2017 containing:

- An expenditure proposal by agency, tying to the funding amounts identified in subsection (a);
- A description of how the appropriations at each agency will be spent to further the goals of the approved strategic plan.

HHSC shall submit the proposal to the LBB by June 1, 2016. It will be considered approved unless written disapproval is provided by the LBB by August 1, 2016.

- If the LBB notifies the Comptroller of Public Accounts (CPA) that the proposal does not meet the requirements of the provision, the CPA shall not allow the expenditure of General Revenue-Related funds identified in the provision.
- Fiscal year 2017 General Revenue-Related appropriations used to provide services required by federal law, related to court-ordered treatment, or required by administrative proceedings must be included in the plan, but are not contingent on approval.

---

# **Behavioral Health Service Delivery System Overview**

---

This section will focus on behavioral health service delivery at the following agencies:

- 1) Department of State Health Services
- 2) Texas Department of Criminal Justice
- 3) Texas Juvenile Justice Department

# Overview of Behavioral Health Services

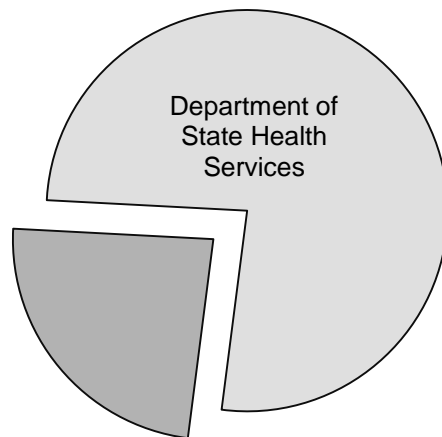
## Definition

Behavioral Health – programs or services concerned with research, prevention, and detection of mental disorders and disabilities, and all services necessary to treat, care for, control, supervise, and rehabilitate persons who have a mental disorder or disability, including persons whose mental disorder or disability result from alcoholism and drug addiction.

## Recap

- The Department of State Health Services, the Department of Criminal Justice, and the Juvenile Justice Department receive approximately 95 percent of behavioral health funding identified in Article IX Section 10.04.
- Funding amounts identified for behavioral health services do not include Medicaid expenditures at the Health and Human Services Commission.
- Fifteen other agencies across five articles receive the remaining 5 percent of behavioral health appropriations.

# Department of State Health Services



76.2 percent of behavioral health funding as identified in Article IX Sec. 10.04 for the 2016-17 biennium.

# Department of State Health Services (DSHS)

The Department of State Health Services (DSHS) fulfills a variety of roles including disease control and prevention, family and community health, health information and statistics, regional and local health services, regulatory services, as well as acting as the primary state mental health and substance abuse agency. DSHS's Mental Health and Substance Abuse (MHSA) Division provides a range of community-based inpatient and outpatient services, as well as inpatient services at the state mental hospitals.

DSHS is responsible for the oversight and provision of public behavioral health services to persons in crisis, medically indigent persons, and certain Medicaid clients living in Texas.

# **DSHS Behavioral Health Service Delivery**

---

## **Outpatient Services**

# Local Mental Health Authorities (LMHAs)

- DSHS enters into annual performance contracts with Local Mental Health Authorities (LMHAs) to provide community-based behavioral health and substance abuse services for adults and children. LMHAs are also sometimes referred to as community mental health centers.
- DSHS requires each LMHA to plan, coordinate, allocate, and develop resources for mental health services within their local service area.
- LMHAs serve as the primary point of contact for individuals wishing to access mental health services, and are responsible for coordinating service delivery for individuals receiving a variety of inpatient, outpatient, and community services.
- LMHAs are also primarily responsible for referring particularly difficult cases requiring inpatient treatment to the state mental hospitals.



# DSHS Adult Mental Health Services

Adult mental health services are provided via annual performance contracts with LMHAs. Services are designed to support adults' movement toward independence and recovery and include assessment, case management, medication, rehabilitation, counseling, supported housing/employment and other related services.

2014-15 Biennium		2016-17 Biennium		Percent Change	
GR Related	All Funds	GR Related	All Funds	GR Related	All Funds
\$ 505,692,683	\$ 626,901,098	\$ 554,955,692	\$ 665,577,144	9.7%	6.2%

Sources: Legislative Budget Board and Department of State Health Services

Average monthly number of adults receiving community mental health services

2014 Actual	2015 Actual	2016 Target	2017 Target
59,849	66,201	60,995	66,375

Sources: Legislative Budget Board and Department of State Health Services

# DSHS Children's Mental Health Services

Children's mental health services are provided via annual performance contracts with the LMHAs. Services are designed for children ages 3-17 and include case management, medication, outpatient services, family support, inpatient hospital services, and respite services.

2014-15 Biennium		2016-17 Biennium		Percent Change	
GR Related	All Funds	GR Related	All Funds	GR Related	All Funds
\$ 117,325,314	\$ 191,940,445	\$ 133,707,217	\$ 204,650,668	14.0%	6.6%

Sources: Legislative Budget Board and Department of State Health Services

Average monthly number of children receiving community mental health services

2014 Actual	2015 Actual	2016 Target	2017 Target
15,105	18,505	12,561	14,038

Sources: Legislative Budget Board and Department of State Health Services

# DSHS Community Mental Health Crisis Services

Community mental health and substance abuse crisis services are provided primarily through performance contracts with the LMHAs and ensure statewide access to crisis hotlines, mobile crisis response, and facility-based crisis services. Crisis services are designed to help adults and children avoid unnecessary hospitalization, incarceration, and use of emergency rooms. Includes community-based competency restoration services and other specialized projects to support persons in periods of crisis.

2014-15 Biennium		2016-17 Biennium		Percent Change	
GR Related	All Funds	GR Related	All Funds	GR Related	All Funds
\$ 217,058,299	\$ 220,571,623	\$ 250,268,886	\$ 253,570,022	15.3%	15.0%

Sources: Legislative Budget Board and Department of State Health Services

Number of persons receiving crisis outpatient services per year (funded by GR)

2014 Actual	2015 Actual	2016 Target	2017 Target
70,216	95,924	72,200	72,200

Sources: Legislative Budget Board and Department of State Health Services

# DSHS Substance Abuse Services

DSHS is the designated state agency for the federal Substance Abuse Prevention and Treatment (SAPT) block grant. Maintenance of effort (MOE) requirements for the grant specify that the state must maintain state spending for substance abuse services at a level equal to the average of expenditures for the prior two fiscal years. The 2016-17 General Appropriations Act assumes a federal SAPT block grant award of \$252.8 million, and the MOE is \$91.8 million.

2014-15 Biennium		2016-17 Biennium	
MOE Requirement (GR-R)	Block Grant (FF)	MOE Requirement (GR-R)	Block Grant (FF)
\$ 73,323,997	\$ 263,275,202	\$ 91,775,350	\$ 252,750,184

Source: Legislative Budget Board

**Prevention** programs implement one or more of the SAPT block grant-required prevention approaches including education and skills training for youth and families, problem identification and referral to appropriate services, information dissemination, and community collaboration.

**Early intervention** services focus on priority populations including youths, at-risk pregnant women and mothers, people at risk of HIV infection, and parents with children in foster care. Services include research-based education and skills training, outreach, HIV early intervention, family services, screening and assessment, referrals, and short-term crisis counseling.

**Treatment** options include detoxification, outpatient, residential, and pharmacotherapy programs. DSHS contracts with community-based providers and state and local government entities to provide treatment services. DSHS also has a memorandum of understanding with the Department of Family and Protective Services to provide outpatient treatment services to DFPS-referred clients.

# DSHS Substance Abuse Services

Funding for substance abuse services increased by approximately 5.6 percent from the 2014-15 biennium.

2014-15 Biennium		2016-17 Biennium		Percent Change	
GR Related	All Funds	GR Related	All Funds	GR Related	All Funds
\$ 70,523,883	\$ 307,850,746	\$ 88,974,689	\$ 325,110,656	26.2%	5.6%

Sources: Legislative Budget Board and Department of State Health Services

Average monthly number of adults served in substance abuse prevention, intervention, and treatment programs

2014 Actual	2015 Actual	2016 Target	2017 Target
81,807	64,960	62,221	64,869

Sources: Legislative Budget Board and Department of State Health Services

Average monthly number of youth served in substance abuse prevention, intervention, and treatment programs

2014 Actual	2015 Actual	2016 Target	2017 Target
156,206	144,883	180,919	187,368

Sources: Legislative Budget Board and Department of State Health Services

# **DSHS Behavioral Health Service Delivery**

---

## **Inpatient Services**

# DSHS Mental Health State Hospitals

The State Mental Health Hospital system consists of 10 state mental health facilities that provide intensive inpatient diagnostic, treatment, rehabilitative, and referral services for seriously mentally ill persons. Services include therapeutic programming, medication management, group therapy, job training, and interpersonal skills training. Populations served include adults, children, and forensic commitments.

2014-15 Biennium		2016-17 Biennium		Percent Change	
GR Related	All Funds	GR Related	All Funds	GR Related	All Funds
\$ 639,775,082	\$ 858,050,114	\$ 667,380,034	\$ 872,639,869	4.3%	1.7%

Sources: Legislative Budget Board and Department of State Health Services

## Average daily census of State Hospitals

2014 Actual	2015 Actual	2016 Target	2017 Target
2,263	2,238	2,376	2,376

Sources: Legislative Budget Board and Department of State Health Services

# Community Hospitals

Eleven inpatient psychiatric facilities, not operated by DSHS, in communities throughout the state provide various services including assessments, crisis stabilization, skills training, and medication management, in response to contract specifications and local needs. Additional funding of \$50 million in General Revenue Related Funds was provided in the 2016-17 biennium to contract for an additional 150 community hospital beds by the end of fiscal year 2017. Funds are expected to be allocated to the community hospitals through performance contracts with local mental health authorities. Services provided include assessment, crisis stabilization, skills training, and medication management.

2014-15 Biennium		2016-17 Biennium		Percent Change	
GR Related	All Funds	GR Related	All Funds	GR Related	All Funds
\$ 140,340,973	\$ 157,646,977	\$ 189,701,841	\$ 209,943,241	35.2%	33.2%

Sources: Legislative Budget Board and Department of State Health Services

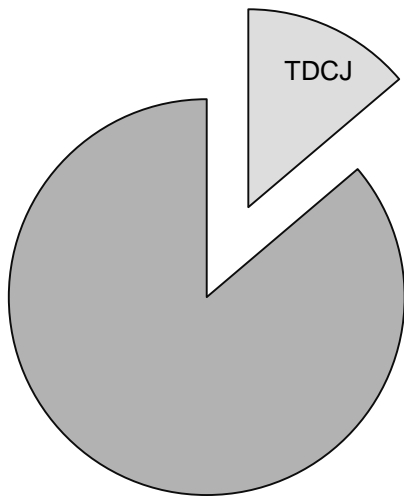
Average daily number of occupied mental health community hospital beds

2014 Actual	2015 Actual	2016 Target	2017 Target
379	349	556	606

Sources: Legislative Budget Board and Department of State Health Services



# Texas Department of Criminal Justice



13.8 percent of behavioral health funding as identified in Article IX Sec. 10.04 for the 2016-17 biennium.

# Texas Department of Criminal Justice (TDCJ)

- The Texas Department of Criminal Justice (TDCJ) performs five major functions: (1) divert offenders; (2) incarcerate felons; (3) maintain adequate incarceration capacity; (4) care for offenders with special needs; and (5) operate a parole system.
- The 84<sup>th</sup> Legislature appropriated \$490.7 million in General Revenue-Related Funds and \$495.8 million in All Funds to TDCJ for behavioral health and substance abuse services.

# Texas Department of Criminal Justice

The Texas Department of Criminal Justice provides behavioral health services and programming to offenders through probation-based diversion programs, unit-based treatment, and parole services.

- **Probation services** are community-based substance or mental health treatment services and caseloads for those under community supervision. TDCJ dispenses discretionary grants to local Community Supervision and Correction Departments (CSCD) to fund local diversion programming.
- **Parole services** are substance abuse or mental health caseloads, treatment programs, and other community based services for those on parole supervision.
- **Correctional institution-based services** are substance abuse and mental health programs, treatments, and services for adults incarcerated within state correctional institutions.

# Probation: Overview

- Probation (community supervision) supports goal of diverting offenders from traditional incarceration through use of community-based programs.
- Local CSCDs supervise and rehabilitate felony and misdemeanor offenders placed on community supervision.
- TDCJ dispenses grants to local CSCDs to provide flexible funding for diversion programs.

## Grant Funding to Local CSCDs

2014-15 Biennium		2016-17 Biennium		Percent Change	
GR Related	All Funds	GR Related	All Funds	GR Related	All Funds
\$ 142,224,312	\$ 146,634,206	\$ 142,298,360	\$ 146,708,254	0.1%	0.1%

Sources: Legislative Budget Board and Texas Department of Criminal Justice

## Number of Residential Beds Grant Funded

2014 Actual	2015 Actual	2016 Projected	2017 Projected
2,826	2,768	2,849	2,849

Sources: Legislative Budget Board and Texas Department of Criminal Justice

# Probation: Overview

Texas has 121 CSCDs serving approximately 160,000 offenders in 254 counties.

Grant Funding to local CSCDs provides flexible funding to a variety of programs, including the following behavioral health-related programs:

- Substance Abuse Programs
- Residential Service Grants- Substance Abuse
- Substance Abuse Felony Facility Punishment Facilities (SAFPF) Aftercare
- Treatment Alternatives to Incarceration

# Parole: Overview

Parole: Upon approval of the Board of Pardons and Paroles, an offender is released from correctional institutions to serve the remainder of the sentence in the community.

- 66 parole offices serve approximately 87,000 offenders.

## Number of Sex Offenders Receiving Subsidized Psychological Counseling While on Parole

2014 Actual	2015 Actual	2016 Projected	2017 Projected
4,803	5,421	4,747	4,747

Sources: Legislative Budget Board and Texas Department of Criminal Justice

# Services Provided to Probation and Parole Offenders

Certain substance abuse and mental health treatment services and programs serve both offenders on probation and parole.

- Texas Correctional Office on Offenders with Medical or Mental Impairments (TCOOMMI)
- Substance Abuse Felony Punishment Facilities (SAFPF)

Funding for all parole and probation services includes some programs and services to address behavioral health issues, but for which TDCJ cannot identify exact behavioral health amounts.

# Texas Correctional Office on Offenders with Medical or Mental Impairments (TCOOMMI)

Texas Correctional Office on Offenders with Medical or Mental Impairments (TCOOMMI) provides grants for community-based treatment programs for adult and juvenile offenders with medical or mental impairments on probation or parole.

- Addresses treatment, rehabilitative, and educational needs of offenders in the criminal justice system through case management, continuity of care, and medically recommended intensive supervision.

Funds appropriated by the 84<sup>th</sup> Legislature provide an additional \$6.0 million for the expansion of services.



# Probation and Parole Services: TCCOOMMI

Grants for community-based treatment programs for adult and juvenile offenders with special needs (including serious mental illness). Includes a continuity of care program and a system for local referrals from various entities.

2014-15 Biennium		2016-17 Biennium		Percent Change	
GR Related	All Funds	GR Related	All Funds	GR Related	All Funds
\$ 40,859,978	\$ 40,859,978	\$ 46,859,978	\$ 46,859,978	14.7%	14.7%

Sources: Legislative Budget Board and Texas Department of Criminal Justice

## Number of Special Needs Offenders Served Through Continuity of Care Programs

2014 Actual	2015 Actual	2016 Target	2017 Target
27,478	31,901	30,640	30,640

Sources: Legislative Budget Board and Texas Department of Criminal Justice

# Probation and Parole Services: Substance Abuse Felony Punishment Facilities

TDCJ appropriations fund Substance Abuse Felony Punishment Facilities (SAFPF), a six-month substance abuse program for offenders who are sentenced by a judge as a condition of community supervision (probation) or as a modification to probation or parole.

2014-15 Biennium		2016-17 Biennium		Percent Change	
GR Related	All Funds	GR Related	All Funds	GR Related	All Funds
\$ 99,383,228	\$ 99,410,832	\$ 99,409,016	\$ 99,436,620	0.03%	0.03%

Sources: Legislative Budget Board and Texas Department of Criminal Justice

## Number of Offenders Completing Treatment in Substance Abuse Facilities

2014 Actual	2015 Actual	2016 Target	2017 Target
5,956	5,763	6,567	6,567

Sources: Legislative Budget Board and Texas Department of Criminal Justice

# Correctional Institution Based Services: Overview

Offenders incarcerated in TDCJ units receive behavioral health treatment through unit based psychiatric care, correctional managed healthcare pharmacy, sex offender treatment, and substance abuse treatment and coordination. Currently, there are approximately 150,000 offenders incarcerated within TDCJ facilities.

2014-15 Biennium		2016-17 Biennium		Percent Change	
GR Related	All Funds	GR Related	All Funds	GR Related	All Funds
\$ 151,042,033	\$ 151,045,368	\$ 166,687,857	\$ 166,691,192	10.4%	10.4%

Sources: Legislative Budget Board and Texas Department of Criminal Justice

# Correctional Institution Based Services: Substance Abuse

Appropriations fund several in-prison substance abuse programs, including: in-prison substance abuse treatment and coordination, Driving While Intoxicated (DWI) treatment, state jail substance abuse and treatment, and substance abuse programs targeting offenders before release.

2014-15 Biennium		2016-17 Biennium		Percent Change	
GR Related	All Funds	GR Related	All Funds	GR Related	All Funds
\$ 62,375,156	\$ 62,378,491	\$ 65,413,876	\$ 65,417,211	4.9%	4.9%

Sources: Legislative Budget Board and Texas Department of Criminal Justice

The 84<sup>th</sup> Legislature appropriated \$2.9 million to provide for 500 additional treatment beds.

# Correctional Institution Based Services: Sex Offender Services

- Sex offender education (SOEP) for lower risk offenders is a 4-month program addressing healthy sexuality, anger management, and other areas.
- Sex offender treatment (SOTP) for higher risk offenders is a 9-month or 18-month intensive treatment program using a cognitive-behavioral model.

2014-15 Biennium		2016-17 Biennium		Percent Change	
GR Related	All Funds	GR Related	All Funds	GR Related	All Funds
\$ 6,342,311	\$ 6,342,311	\$ 6,432,400	\$ 6,432,400	1.4%	1.4%

Sources: Legislative Budget Board and Texas Department of Criminal Justice

# Correctional Institution Based Services: Correctional Managed Healthcare

- Healthcare is provided to offenders incarcerated in TDCJ through the Correctional Managed Health Care system (CMHC)
- CMHC is comprised of two entities which provide health care services to incarcerated offenders: The University of Texas Medical Branch and the Texas Tech University Health Sciences Center
- Certain behavioral health services and medications associated with behavioral health are provided to incarcerated offenders through CMHC
- CMHC appropriations are not provided for specific behavioral health care services. Services provided are subject to the contract with CMHC providers.

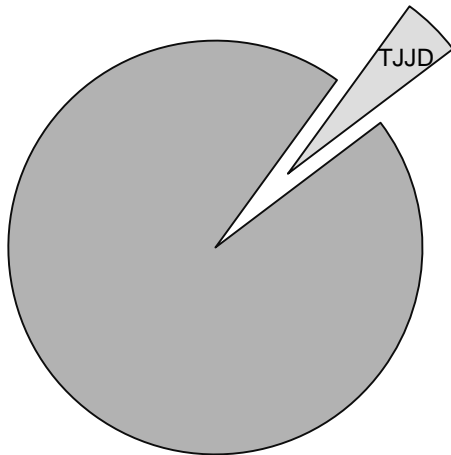
## CMHC Psychiatric Inpatient Average Daily Census

2014 Actual	2015 Actual	2016 Projected	2017 Projected
1,906	1,865	1,912	1,912

Sources: Legislative Budget Board and Texas Department of Criminal Justice

Note: This chart does not reflect the total number of offenders receiving behavioral health services on correctional intuitions.

# Texas Juvenile Justice Department



4.7 percent of behavioral health funding as identified in Article IX Sec. 10.04 for the 2016-17 biennium.

# Texas Juvenile Justice Department (TJJD)

The Texas Juvenile Justice Department provides programming and rehabilitative services to juveniles committed to or on parole from TJJD and provides regulatory oversight and financial and professional assistance to local juvenile probation departments.

The 84<sup>th</sup> Legislature appropriated \$155.8 million in General Revenue-Related Funds and \$169.0 million in All Funds to TJJD for behavioral health and substance abuse services.

Appropriated funding serves juvenile offenders in three areas:

- in probation programs and services (community-based)
- in state-custody, and
- in parole programs and services (community-based)



# Probation: Overview

Probation is supervision provided to juvenile offenders by local juvenile probation departments prior to or in lieu of commitment to state custody. TJJD allocates funds to assist the 166 juvenile boards in providing basic and special services to youth within the juvenile probation system. The majority of the funding to the juvenile probation system is provided by local county governments and is not reflected here.

Funding for programs with explicit behavioral health focus—Special Needs Diversionary Program and Mental Health Services Grants

2014-15 Biennium		2016-17 Biennium		Percent Change	
GR Related	All Funds	GR Related	All Funds	GR Related	All Funds
\$ 37,635,127	\$ 37,635,127	\$ 29,557,564	\$ 29,557,564	(21.5%)	(21.5%)

Sources: Legislative Budget Board and Juvenile Justice Department

Funding for Community Programs and Commitment Diversion Initiatives include some programs and services to address behavioral health issues, but for which TJJD cannot identify exact behavioral health amounts. Probation departments may use these probation dollars for a wide variety of activities that may or may not have a mental/behavioral health focus, in order to meet the changing needs of juvenile offenders.

2014-15 Biennium		2016-17 Biennium		Percent Change	
GR Related	All Funds	GR Related	All Funds	GR Related	All Funds
\$ 86,905,590	\$ 89,205,590	\$ 112,871,574	\$ 115,171,574	29.9%	29.1%

Sources: Legislative Budget Board and Juvenile Justice Department

# State Programs: Overview

State-based behavioral health treatment includes but is not limited to:

- psychiatric evaluations
- ongoing psychiatric services and psychotropic medication
- general rehabilitation treatment
- specialized rehabilitation treatment (sexual behavior, capital and serious violent offender, alcohol and other drug, and/or mental health).

TJJD reports the following FY 2015 data:

- 643 juveniles with a psychiatric diagnosis
- 99% of youth needed some type of specialized treatment need
- 83% of youth had two or more specialized treatment needs

# State Programs: Specialized Rehabilitation Treatment

TJJD administers four types of specialized rehabilitation treatment: mental health, alcohol and other drug, sexual offender, and capital and serious violent offender treatment.

According to TJJD, in FY 2015, 99% of youth admitted to TJJD had a specialized treatment need.

2014-15 Biennium		2016-17 Biennium		Percent Change	
GR Related	All Funds	GR Related	All Funds	GR Related	All Funds
\$ 12,003,343	\$ 13,352,566	\$ 10,922,173	\$ 12,304,173	(9.0%)	(7.9%)

Sources: Legislative Budget Board and Juvenile Justice Department

Note: The 84th Legislature combined two former strategies, Specialized Rehabilitation Treatment and General Rehabilitation treatment, into one strategy, Integrated Rehabilitation Treatment. The amounts shown above for fiscal years 2016–17 are amounts that TJJD allocated for Specialized Rehabilitation Treatment programs within that combined strategy.

TJJD reports that 92% of youth with specialized treatment needs who were released from TJJD custody in fiscal year 2014 completed specialized treatment.

# Parole: Overview

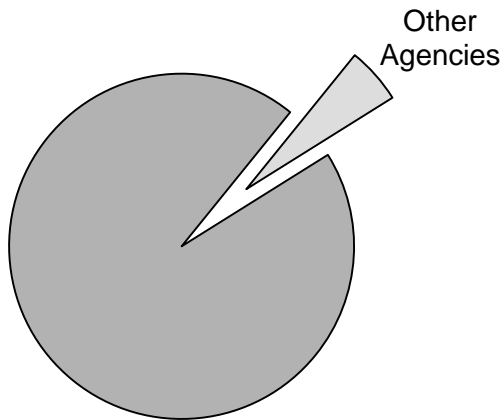
Parole is supervision provided to juvenile offenders by TJJJ after release from state custody. TJJJ provides a variety of programs and services, including aftercare for juveniles who received special needs or substance abuse treatment received in state custody.

Funding for all parole programs and services includes some programs and services to address behavioral health issues, but for which TJJJ cannot identify exact behavioral health amounts.

2014-15 Biennium		2016-17 Biennium		Percent Change	
GR Related	All Funds	GR Related	All Funds	GR Related	All Funds
\$ 3,197,905	\$ 3,197,905	\$ 2,862,536	\$ 2,862,536	(10.5%)	(10.5%)

Sources: Legislative Budget Board and Juvenile Justice Department

# Other Agencies Receiving Behavioral Health Appropriations



5.3 percent of behavioral health funding as identified in Article IX Sec. 10.04 for the 2016-17 biennium.

# Article I

## Article I: Trusteed Programs, Office of the Governor

2014-15 Biennium		2016-17 Biennium		Percent Change	
GR Related	All Funds	GR Related	All Funds	GR Related	All Funds
\$ 3,234,004	\$ 10,754,729	\$ 1,500,000	\$ 10,609,948	(53.6%)	(1.3%)

Sources: Legislative Budget Board and Office of the Governor

Provides grants for the following purposes:

- Court-supervised substance abuse treatment as an alternative to traditional crime sanctions, including incarceration;
- Local communities and non-profit organizations to improve the juvenile justice system and develop effective education, training, research, prevention, diversion, treatment and rehabilitation programs in the area of juvenile delinquency; and
- Substance abuse treatment projects within state and local correctional facilities, including jails.

## Article I: Veterans Commission

2014-15 Biennium		2016-17 Biennium		Percent Change	
GR Related	All Funds	GR Related	All Funds	GR Related	All Funds
\$ -	\$ 3,250,000	\$ -	\$ 4,000,000	-	23.1%

Sources: Legislative Budget Board and Veterans Commission

- Provides Veterans Mental Health Grants to local nonprofit organizations and units of local governments that provide direct services to veterans, their families, and survivors.

# Article II

## Article II: Department of Aging and Disability Services

- Provides for enhanced community-based intellectual development disorder (IDD) services for behavioral intervention and crisis respite services, including funding for eight regional medical, behavioral and psychiatric teams that provide clinical assistance through the local mental health authorities (LMHA).

2014-15 Biennium		2016-17 Biennium		Percent Change	
GR Related	All Funds	GR Related	All Funds	GR Related	All Funds
\$ -	\$ -	\$ 18,316,945	\$ 18,633,889	-	-

Sources: Legislative Budget Board and Department of Aging and Disability Services

## Article II: Department of Family and Protective Services

2014-15 Biennium		2016-17 Biennium		Percent Change	
GR Related	All Funds	GR Related	All Funds	GR Related	All Funds
\$ 14,673,681	\$ 34,810,575	\$ 26,734,771	\$ 52,458,307	82.2%	50.7%

Sources: Legislative Budget Board and Department of Family and Protective Services

Provides the following contracted services:

- Substance abuse treatment and prevention services;
- Counseling and therapeutic services not met by STARHealth;
- Specialized treatment to children in the conservatorship of DFPS in an inpatient non-hospital setting; and
- Emergency mental health services to disabled and elderly individuals within Adult Protective Services.

# Article II (continued)

## Article II: Health and Human Services Commission

2014-15 Biennium		2016-17 Biennium		Percent Change	
GR Related	All Funds	GR Related	All Funds	GR Related	All Funds
\$ 18,144,541	\$ 58,113,398	\$ 28,449,012	\$ 78,399,336	56.8%	34.9%

Sources: Legislative Budget Board and Health and Human Services Commission

- Provides for statewide mental health coordination across the Health and Human Services system.
- Administers grants and provides support for the expansion of comprehensive community mental health services for children and their families.
- Provides strategic planning and coordination for children with special needs, including children with a serious mental illness.
- Coordinates veterans health services, outreach, and client services, focusing on post-traumatic stress disorder (PTSD), depression and traumatic brain injuries. Organizes peer support groups for veterans to seek professional medical assistance.
- Provides funding for Children's Advocacy Centers (CAC), which provide assistance and coordination for victims in local law enforcement agencies and district attorney's offices.

## Article II: Texas Civil Commitment Office

2014-15 Biennium		2016-17 Biennium		Percent Change	
GR Related	All Funds	GR Related	All Funds	GR Related	All Funds
\$ -	\$ -	\$ 309,222	\$ 309,222	-	-

Sources: Legislative Budget Board and Health and Human Services Commission

- Provides treatment, intensive supervision, GPS tracking of civilly committed sexually violent predators.



# Article III

## Article III: UT Health Science Center Tyler

2014-15 Biennium		2016-17 Biennium		Percent Change	
GR Related	All Funds	GR Related	All Funds	GR Related	All Funds
\$ -	\$ -	\$ 8,000,000	\$ 8,000,000	-	-

Sources: Legislative Budget Board and University of Texas Health Science Center Tyler

- Supports mental health workforce training programs in underserved areas including, but not limited to, Rusk State Hospital and Terrell State Hospital.

## Article III: UT Health Science Center Houston

2014-15 Biennium		2016-17 Biennium		Percent Change	
GR Related	All Funds	GR Related	All Funds	GR Related	All Funds
\$ 12,000,000	\$ 12,000,000	\$ 12,000,000	\$ 12,000,000	0.0%	0.0%

Sources: Legislative Budget Board and University of Texas Health Science Center Houston

- Provides clinical training, research and patient care for persons with mental illness.
- Serves more than 18,000 patients each year.
- Conducts clinical research and interventions to further enhance the knowledge of treating persons with mental illness, including long-term follow-up with validation of treatment and its effect.

# Article V

## Article V: Texas Military Department

2014-15 Biennium		2016-17 Biennium		Percent Change	
GR Related	All Funds	GR Related	All Funds	GR Related	All Funds
\$ 850,000	\$ 850,000	\$ 1,257,000	\$ 1,257,000	47.9%	47.9%

Sources: Legislative Budget Board and Texas Military Department

- Provides mental health and counseling services to Texas Military Forces members, families, and veterans.

# Article VIII

Article VIII - Board of Dental Examiners, Board of Pharmacy, Board of Veterinary Medical Examiners, Optometry Board, Texas Board of Nursing, Texas Medical Board

2014-15 Biennium		2016-17 Biennium		Percent Change	
GR Related	All Funds	GR Related	All Funds	GR Related	All Funds
\$ 3,617,248	\$ 3,617,256	\$ 3,662,039	\$ 3,662,039	1.2%	1.2%

Sources: Legislative Budget Board and Affected Agencies

- Contracts with approved Peer Assistance Programs to provide monitoring and reporting of mental health or chemical dependency treatment of certain occupations including veterinarians, pharmacists, nurses, dental hygienists, dentists, optometrists, and other licensees.
- The Texas Medical Board administers the Texas Physician Health Program which assists in evaluation, monitoring and reporting on the mental health or chemical dependency treatment of certain occupations, including physicians, physician assistants, acupuncturists, and surgical assistants (\$1.1 million in General Revenue Related Funds).

---

# Medicaid Behavioral Health

# Medicaid Behavioral Health Funding

Amounts identified in Article IX, Sec. 10.04(a) do not include funding for behavioral health services in the Medicaid program at the Health and Human Services Commission because those specific amounts could not be identified during the appropriations process.

- Senate Bill 58, Eighty-third Legislature, Regular Session required the integration of Medicaid behavioral health services into Medicaid managed care.
  - Capitation payments under Medicaid managed care include funding for most behavioral health services.
  - Capitation payments do not include a specific amount of funding for behavioral health services and no requirement exists to spend a certain amount of funding on such services.
  - An estimate of how much Medicaid managed care organizations spent on behavioral health services can be made using the Medicaid encounter data.

Despite the exclusion of Medicaid funding from the informational listing, it is expected that Medicaid-related behavioral health services will be included as part of the five-year strategic plan and in the statewide expenditure proposal.

# Medicaid Behavioral Health Services

The Medicaid State Plan provides for various behavioral health services including services such as targeted case management, mental health rehabilitation, psychotherapy, inpatient hospitalization, medications, and substance use disorder assessment and treatment.

Behavioral health services are available to all clients enrolled in full-benefit Medicaid (currently approximately 4.1 million people), but are not accessed by all those enrolled. Some State Plan services are limited to certain enrollees.

Additionally, two other Medicaid programs provide targeted home and community-based services, in addition to full Medicaid benefits, to children and adults who might not otherwise qualify for Medicaid:

- Home and Community Based Services – Adult Mental Health (HCBS-AMH)
- Youth Empowerment Services (YES) Waiver

The following slide briefly highlights the HCBS-AMH program and YES waiver.

# Medicaid Behavioral Health Programs

## Home and Community-Based Services – Adult Mental Health (HCBS-AMH) State Plan Amendment

- Statewide program providing services to adults with serious mental illness who have repeated or extended tenure in inpatient psychiatric facilities.
- Designed to support long-term recovery from mental illness and enable the individual to live in his or her chosen community.
- Behavioral health services include substance use disorder services, peer support, recovery management, community psychiatric supports and treatment, and psychosocial rehabilitation services.
- Other services include supervised and assisted living services, respite care, employment services, and other services intended to assist clients with remaining in a community-based setting.
- 1915(i) state plan amendment.
- Income eligibility capped at 150 percent FPL.
- 50 patients projected to be served in FY 2016 and 100 patients projected to be served in FY 2017.

## Youth Empowerment Services (YES) Waiver

- Program providing services to children aged 3 – 18 years with a serious emotional disturbance.
- Targets youth at risk of institutionalization due to their serious emotional disturbance, offering services aimed at keeping these children in their homes and communities.
- Behavioral health services include community living supports, paraprofessional services, and specialized therapies such as animal-assisted therapy, art, or music therapy.
- Other services include adaptive aids and supports, non-medical transportation, minor home modifications, supportive family-based alternatives, transition assistance services, and respite care.
- 1915(c) home and community-based waiver program.
- Income eligibility capped at 300 percent FPL (Parental income is not counted).
- Can serve up to 400 youth.

Sources: Health and Human Services Commission; Department of State Health Services

# DSRIP Behavioral Health Projects

- The Delivery System Reform Incentive Payment (DSRIP) funding pool is part of the 1115 Transformation Waiver.
- Approximately 389 four-year and 83 three-year DSRIP projects are related to behavioral health.
  - These projects include the following:
    - Behavioral health crisis stabilization services as an alternative to hospitalization
    - Co-location/integration of primary care in behavioral health facilities
    - Expansion of peer support services
    - Development of mobile clinics
    - Counseling and early intervention services
    - Outpatient substance abuse treatment



# DSRIP Behavioral Health Projects (continued)

In demonstration years 3 through 5, DSRIP behavioral health projects are expected to draw down approximately \$1.2 billion in Federal Funds.

- The non-federal share of DSRIP payments comes from intergovernmental transfers from local and state public entities.

In the 2014-15 and 2016-17 bienniums, budget riders in the General Appropriations Act directed the Department of State Health Services (DSHS) to require community mental health centers to utilize General Revenue Funds appropriated to the Department to draw down additional Federal Funds through the DSRIP pool.

- These General Revenue Funds are included in Article IX, Sec. 10.04(a).
- DSHS reported that Community Mental Health Centers leveraged approximately \$219.0 million received through contracts funded with General Revenue appropriations at DSHS and \$55.5 million in local funds to draw down an additional \$385.1 million in Federal Funds (included in the \$1.2 billion identified above) at the local level in fiscal years 2014 and 2015 for various behavioral health services provided under the waiver.



## LEGISLATIVE BUDGET BOARD

# Contact the LBB

Legislative Budget Board

[www.lbb.state.tx.us](http://www.lbb.state.tx.us)

512.463.1200